



# Focus on Resolution: Degradations in Image Acquisition

Ken Turkowski  
Google, Inc.

22 August 2007

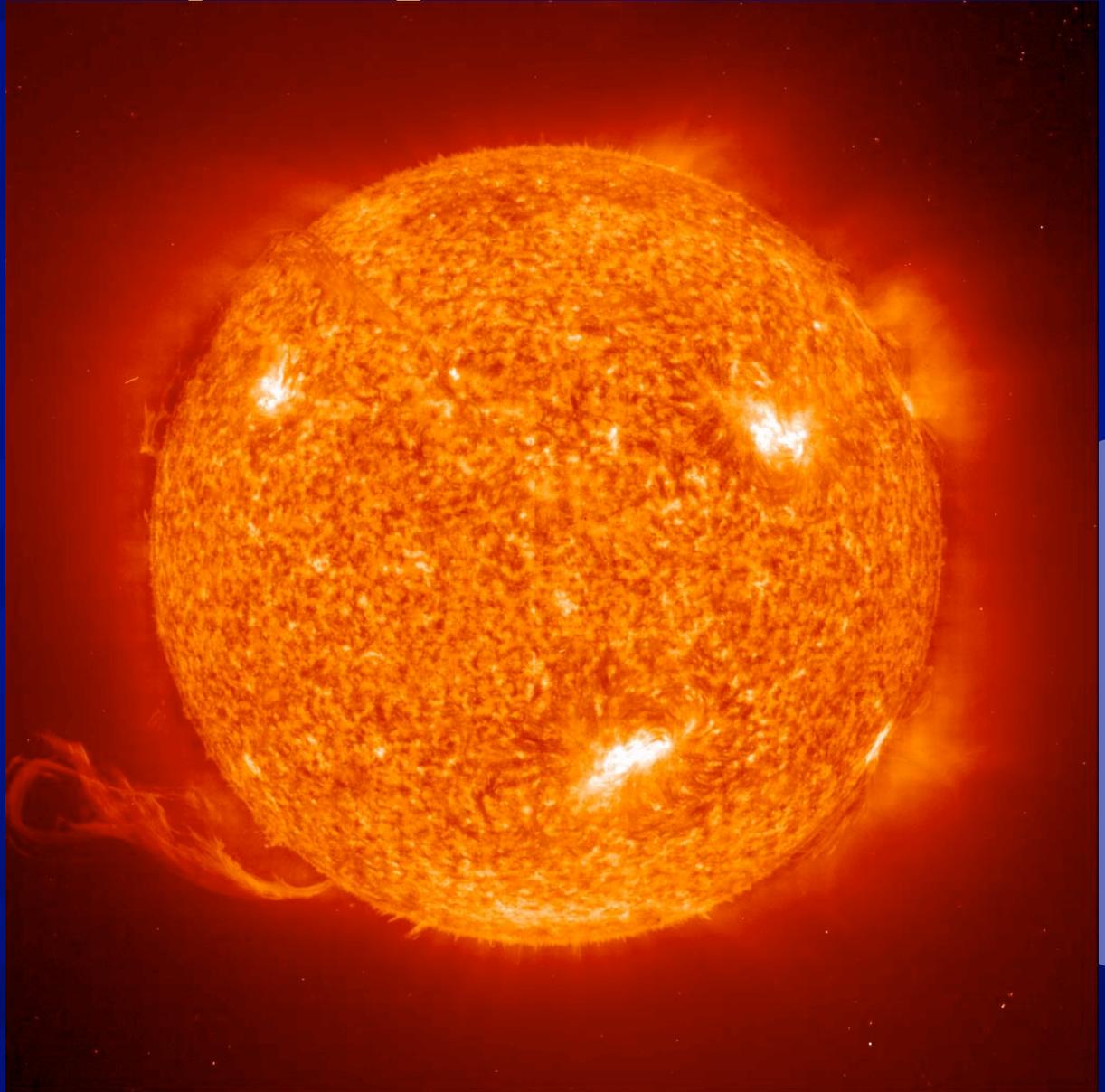


# Overview of Talk

- ✦ Lens Aberrations
- ✦ Diffraction Effects of Apertures
- ✦ Image Acquisition Devices
- ✦ Resolvability and Sampling Density
- ✦ The 70% Rule
- ✦ FOV, Focal Length, Pixel Density

# The Journey begins here

☀ 93  
million  
miles  
away



# The Journey

- ☀ Light interacts with matter
- ☀ Light is transported through space and the atmosphere
- ☀ Light enters the aperture of the lens



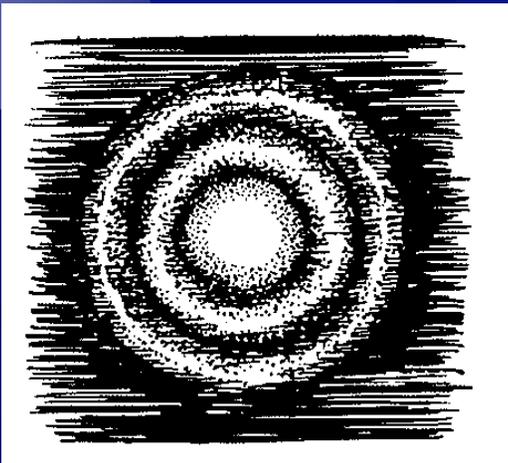
# The dual nature of light

- ☀ Wave

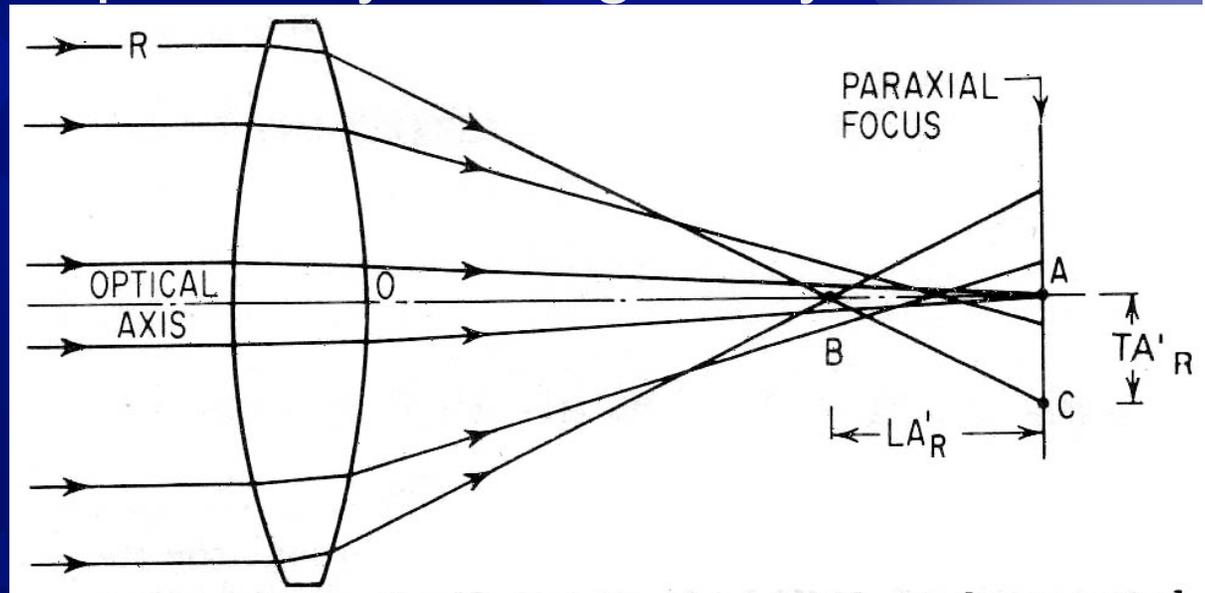
- ☀ Wavefront propagation analysis

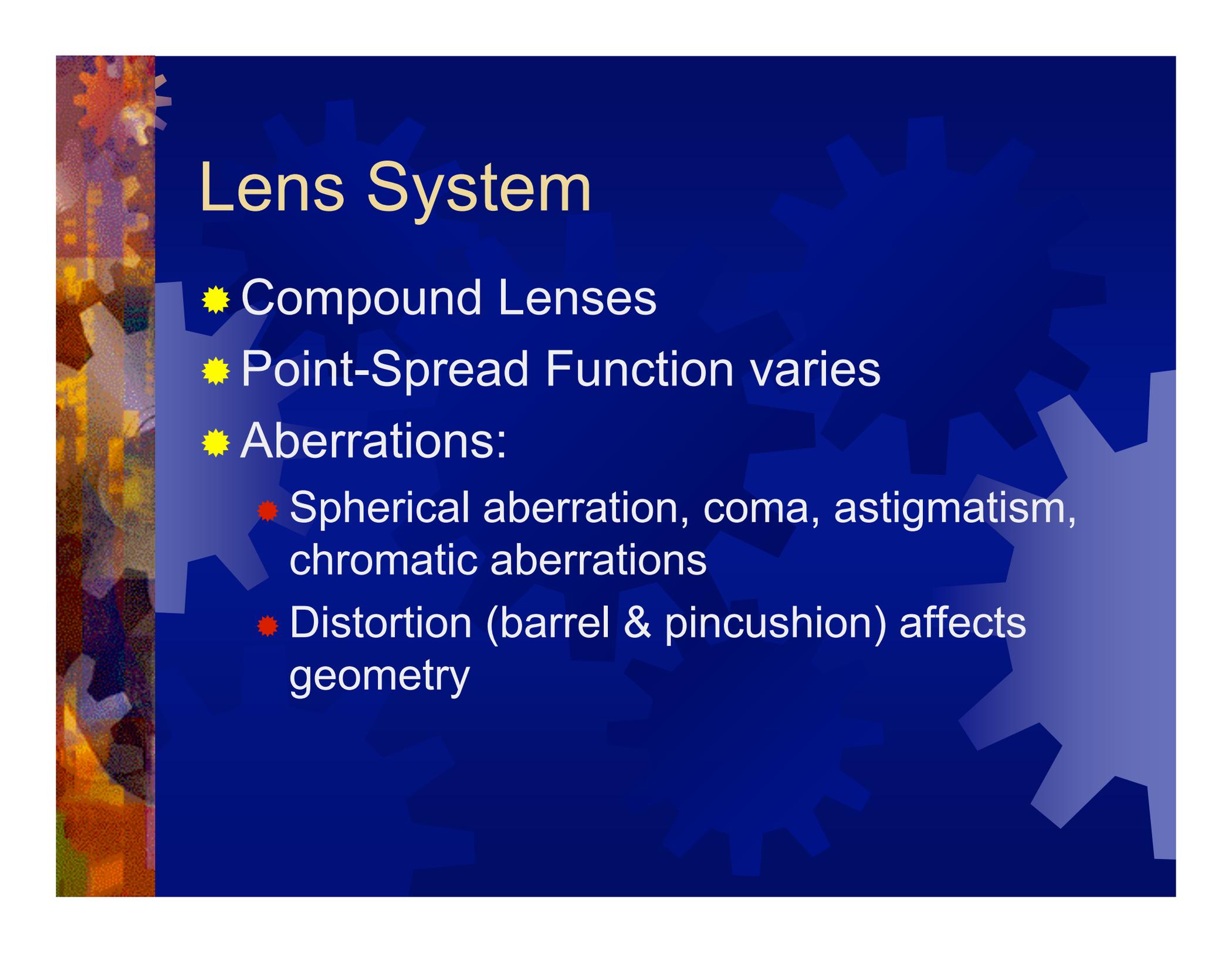
- ☀ Particle

- ☀ Geometric optics, ray tracing analysis



Illustrations from Smith,  
"Modern Optical Engineering"



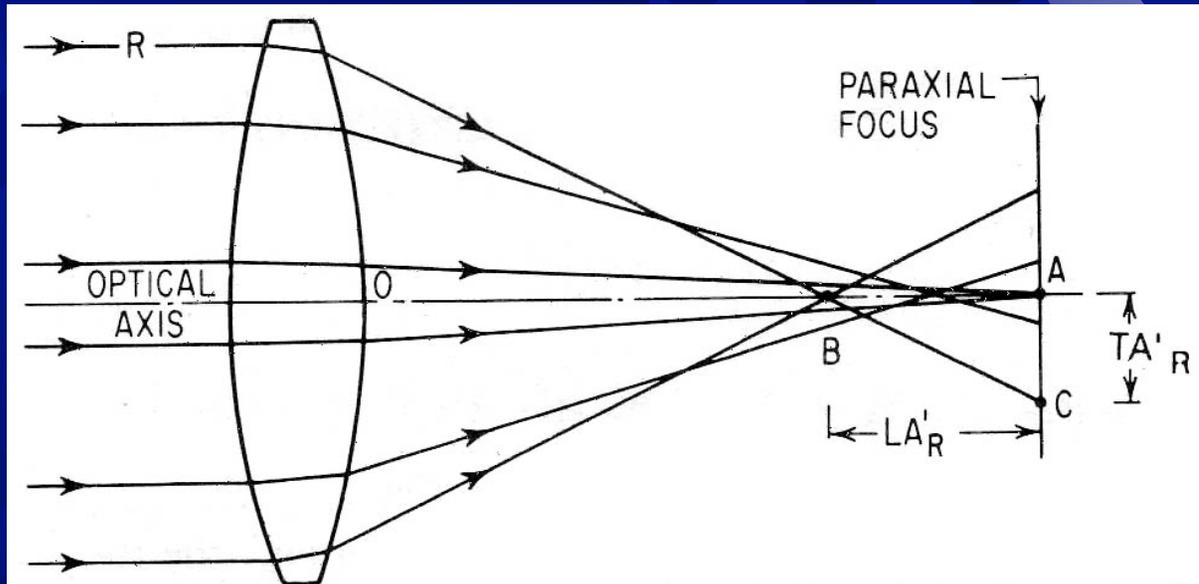


# Lens System

- ✦ Compound Lenses
- ✦ Point-Spread Function varies
- ✦ Aberrations:
  - ✦ Spherical aberration, coma, astigmatism, chromatic aberrations
  - ✦ Distortion (barrel & pincushion) affects geometry

# Spherical Aberration

- ☀ Variation of *focus* with aperture
  - ☀ either focus at the center, or the edge, but not both



**Figure 3.2** A simple converging lens with undercorrected spherical aberration. The rays farther from the axis are brought to a focus nearer the lens.

(from *Modern Optical Engineering*, by Smith 1990)

# Coma

- ☀ Variation of *magnification* with aperture
- 🔴 a point smears into a comet-shaped flare

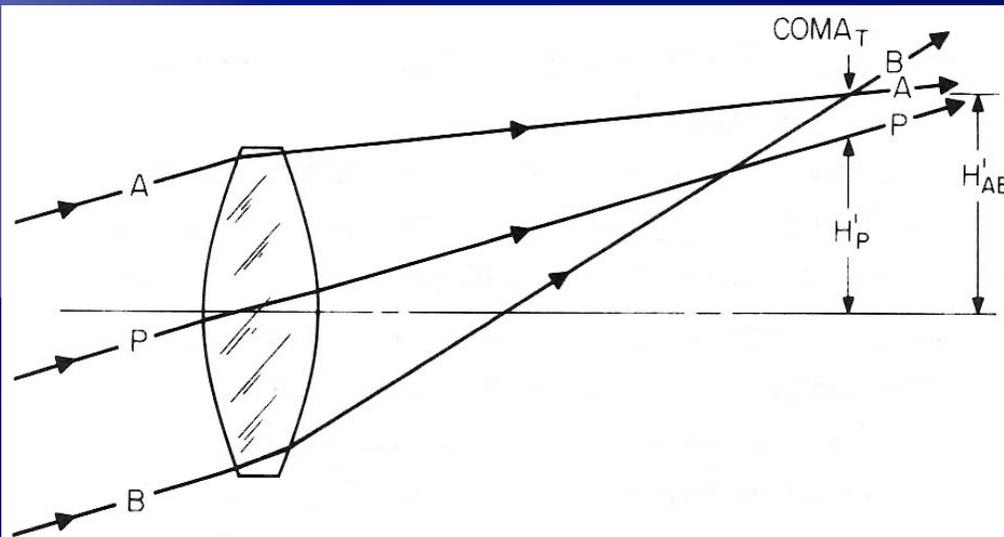


Figure 3.4 In the presence of coma, the rays through the outer portions of the lens focus at a different height than the rays through the center of the lens.

(from *Modern Optical Engineering*, by Smith, 1990)

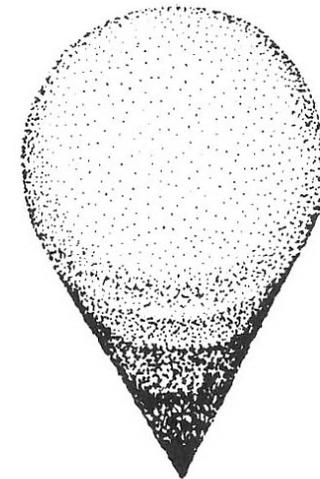
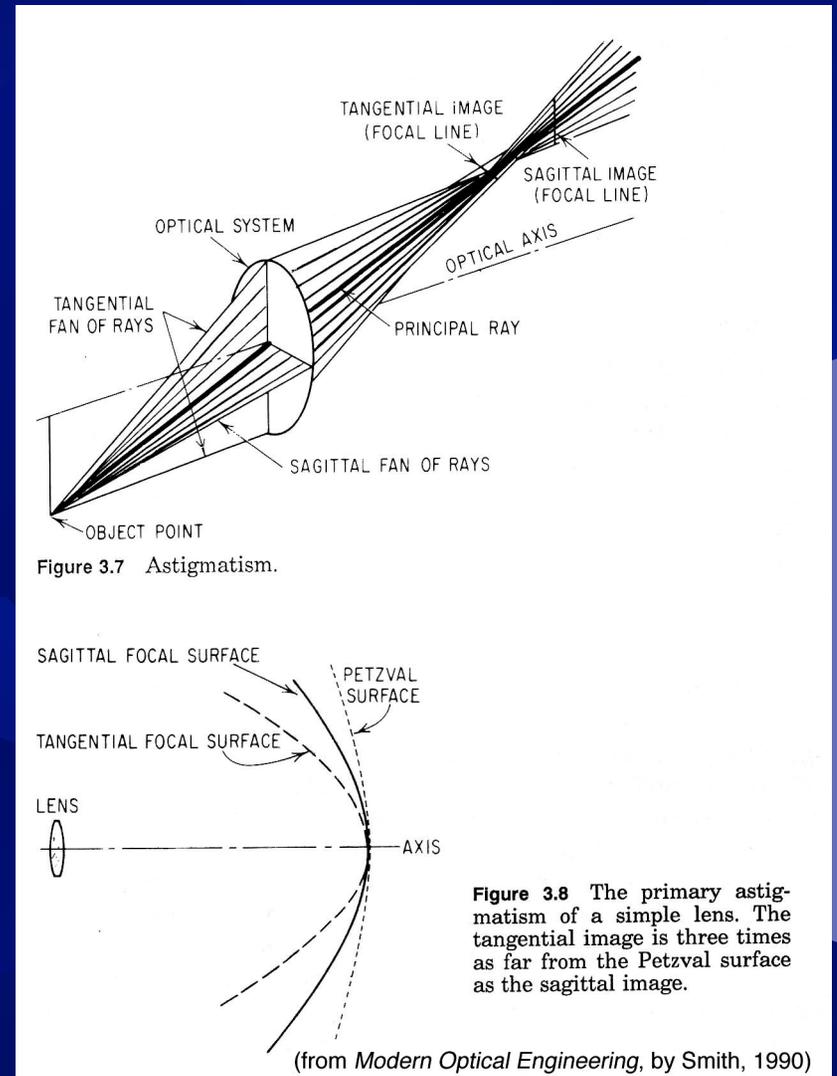


Figure 3.5 The coma patch. The image of a point source is spread out into a comet-shaped flare.

(from *Modern Optical Engineering*, by Smith, 1990)

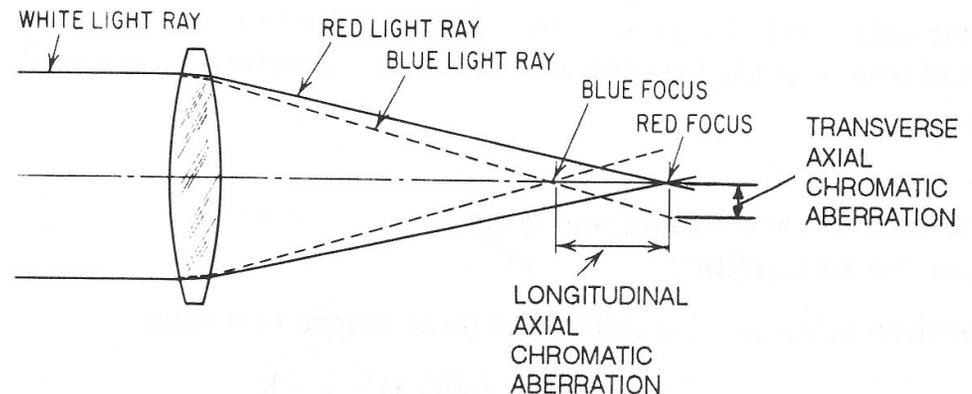
# Astigmatism and Field Curvature

☀ Non-planar focal surface

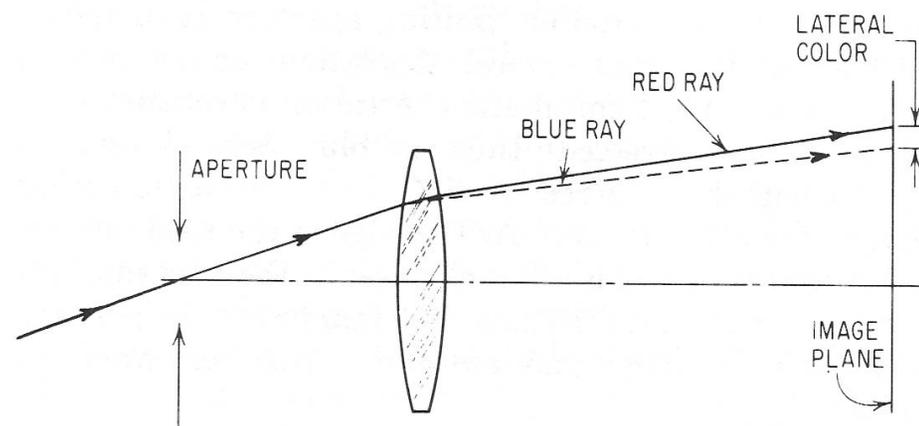


# Chromatic aberrations

- ☀ Variation of aberrations with wavelength
- ☀ Magnification aberration is easy to correct



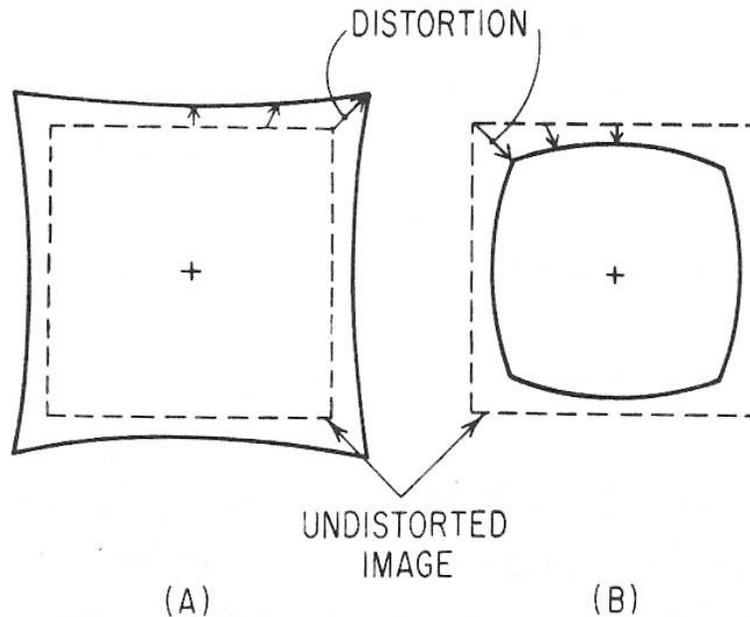
**Figure 3.10** The undercorrected longitudinal chromatic aberration of a simple lens is due to the blue rays undergoing a greater refraction than the red rays.  
(from *Modern Optical Engineering*, by Smith, 1990)



**Figure 3.11** Lateral color, or chromatic difference of magnification, results in different-sized images for different wavelengths.  
(from *Modern Optical Engineering*, by Smith, 1990)

# Geometric distortion

- ✦ East to correct



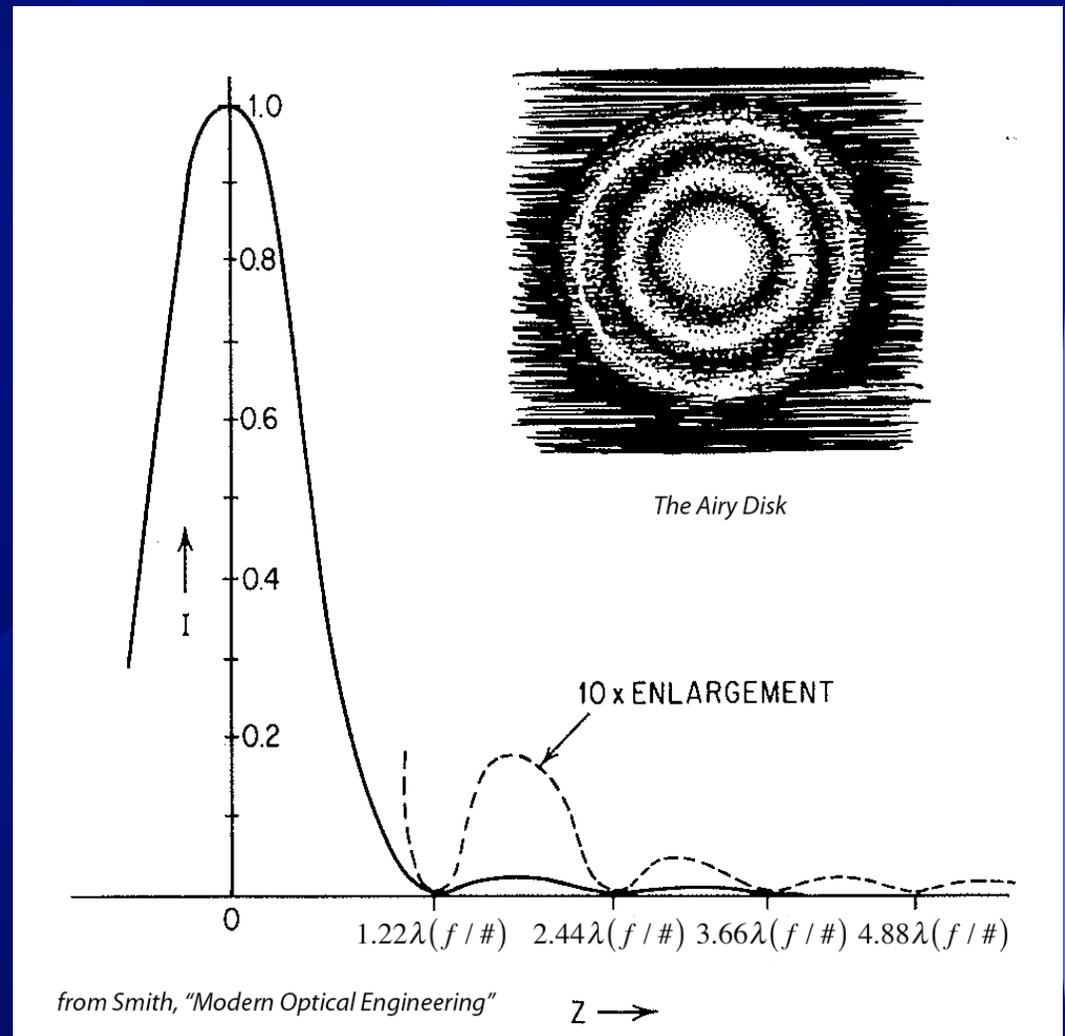
**Figure 3.9** Distortion. (a) Positive, or pincushion, distortion. (b) Negative, or barrel, distortion. The sides of the image are curved because the amount of distortion varies as the cube of the distance from the axis. Thus, in the case of a square, the corners are distorted  $2\sqrt{2}$  as much as the center of the sides.

(from *Modern Optical Engineering*, by Smith, 1990)

# Wave Optics

- ✦ Aperture diffraction
- ✦ Worse with smaller aperture
- ✦ Airy Disk

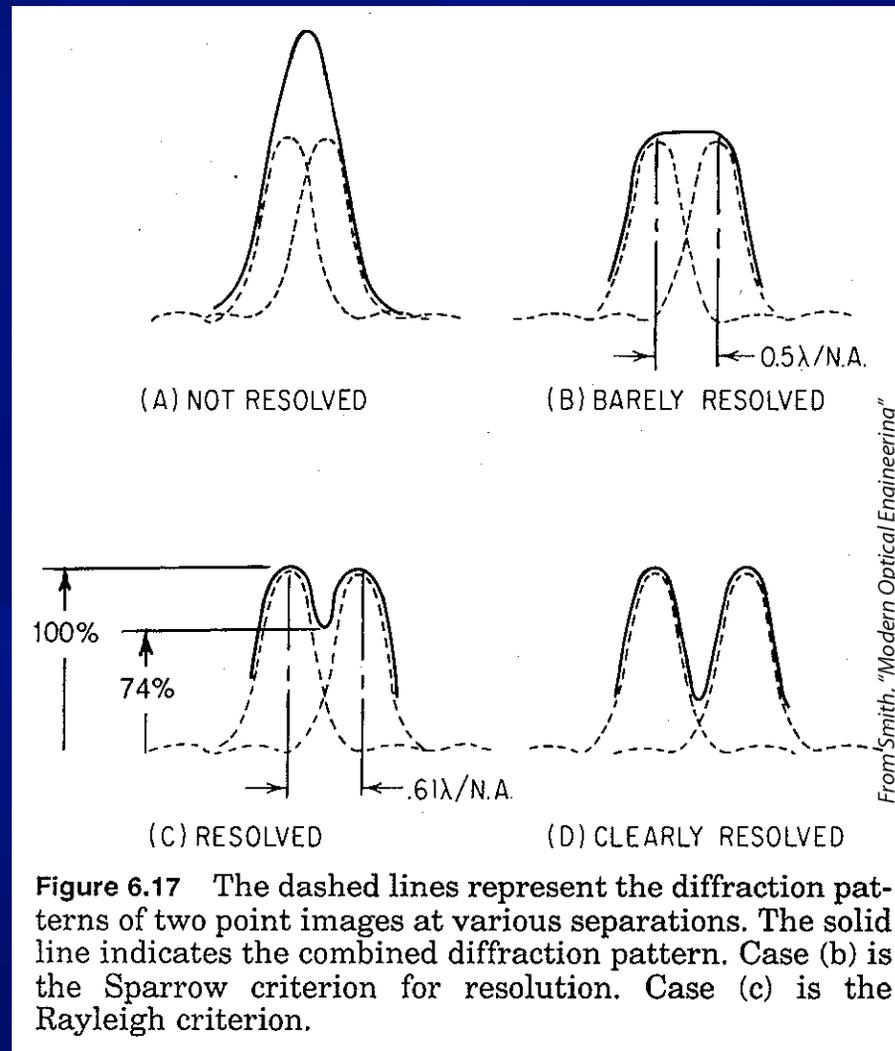
$$I = I_0 \left[ \frac{2J_1(m)}{m} \right]^2$$



# Diffraction-Limited Resolution

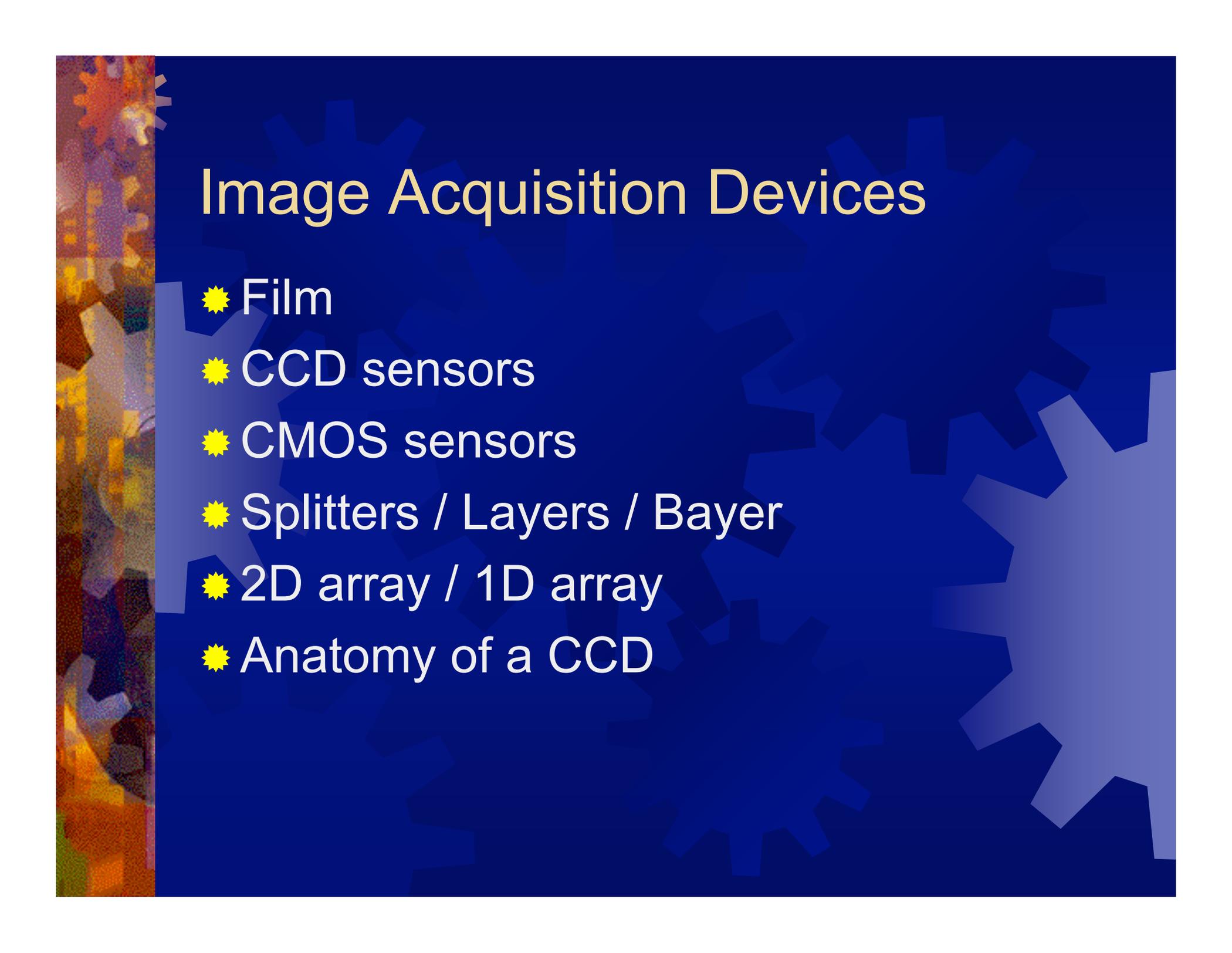
☀ Lord  
Rayleigh's  
criterion

$$Z = \frac{0.61\lambda}{NA}$$
$$= 1.22\lambda(f / \#)$$



# Implications for Cameras

- ☀ Visible light ~400-700 nm
- ☀ For red light @  $f/11$   
$$Z = 1.22(700 \times 10^{-9})(11) \approx 9.4 \mu m$$
- ☀ For blue light @  $f/11$   
$$Z = 1.22(400 \times 10^{-9})(11) \approx 5.4 \mu m$$
- ☀ pixel sizes: 6  $\mu m$  (typical DSLR)  
2.2-3.2  $\mu m$  (cells, point&shoot),  
1.75  $\mu m$  (Micron CMOS)
- ☀ The optics *can't* deliver RGB pixels at  $f/11$ !
- ☀ The optics can barely deliver Bayer pixels to DSLR at  $f/11$  (with 1.4X pixel size factor)

The background of the slide is a dark blue gradient. On the left side, there is a vertical strip with a colorful, abstract pattern resembling a film strip or a microscopic view of a sensor. The main area of the slide is filled with faint, overlapping gear shapes in various shades of blue.

# Image Acquisition Devices

- ✦ Film
- ✦ CCD sensors
- ✦ CMOS sensors
- ✦ Splitters / Layers / Bayer
- ✦ 2D array / 1D array
- ✦ Anatomy of a CCD



# Film

- ✦ 3 Layers
- ✦ Color-sensitive grain in each layer
- ✦ Grain size and density determine resolution

# Common Digital CCD / CMOS Sensor

- ★ Bayer Sampling Pattern

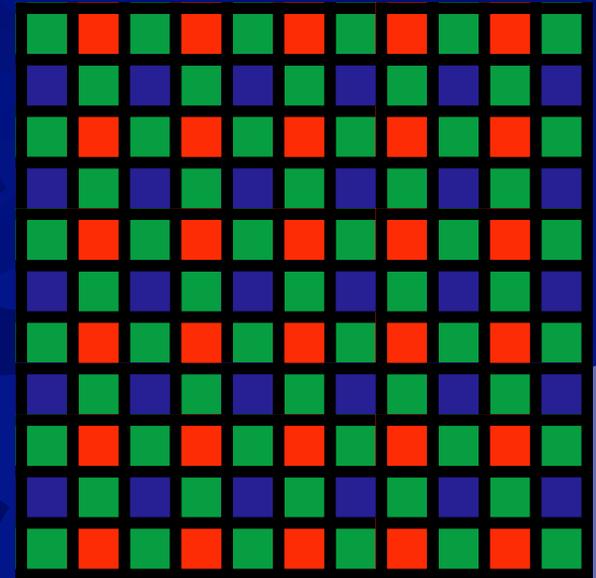
- ★ No RGB pixels
- ★ Only R, G, and B pixels

- ★ Convert to RGB by interpolation

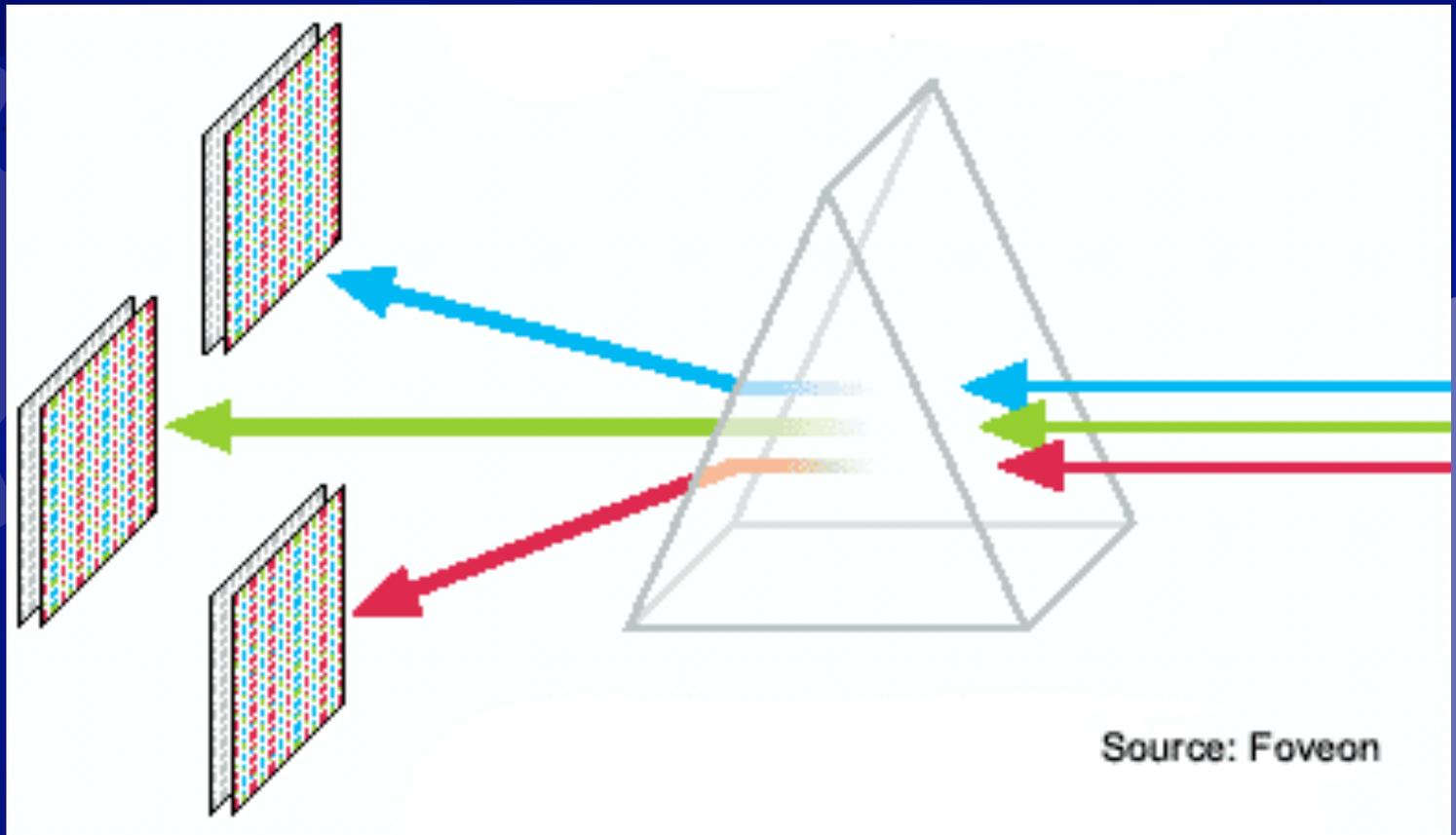
- ★ If 1000 pix/mm, then

707 G pix/mm and 500 R and B pix/mm,  
i.e. R and B are sampled every 2 pixels, G is  
sampled every 1.4 pixels

- ★ 8 Mpix CCD has 4 Mpix G, 2 Mpix R&B,  
 $2 \text{ Mpix} \leq \text{RGB} \leq 4 \text{ Mpix}$



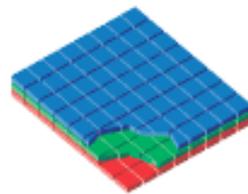
# 3 CCD Sensors



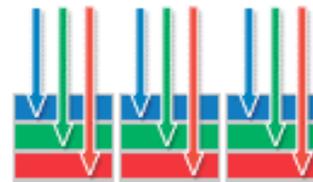
# Layered CMOS Sensors

☀ R, G & B pixels at each location!

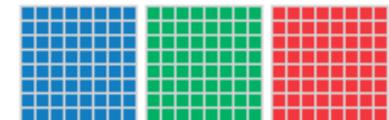
## Foveon X3 Capture



A Foveon X3 image sensor features three separate layers of photo-detectors embedded in silicon

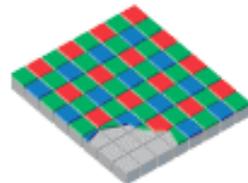


Since silicon absorbs different wavelengths of light at different depths, each layer captures a different color.

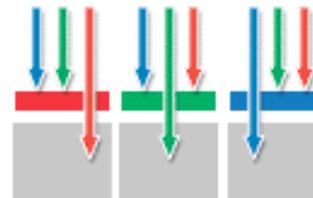


As a result, only Foveon X3 image sensors capture red, green and blue light at every pixel location.

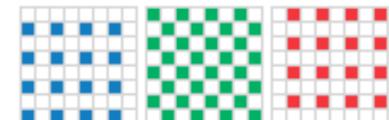
## Mosaic Capture



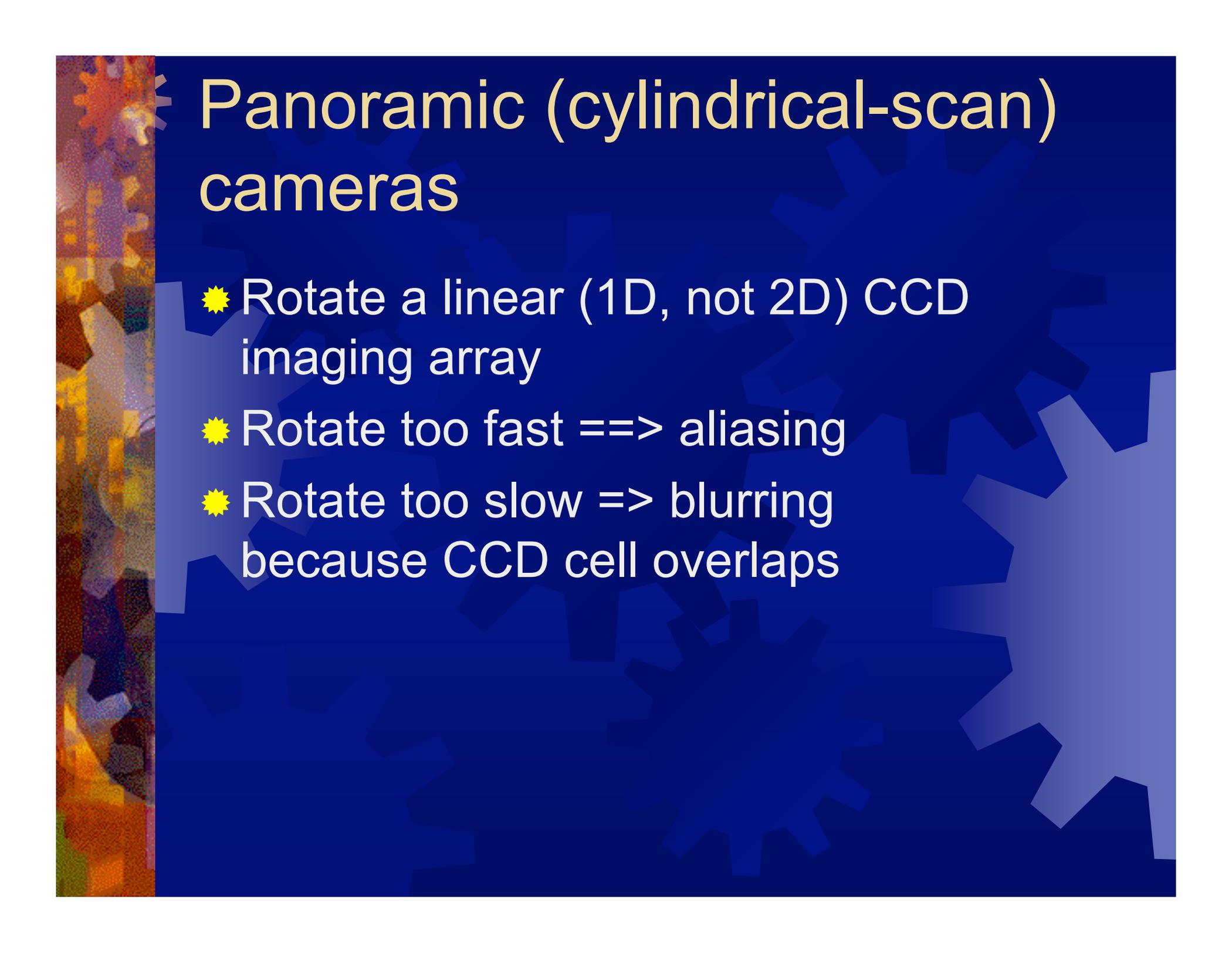
In conventional systems, color filters are applied to a single layer of photo-detectors in a tiled mosaic pattern.



The filters let only one wavelength of light—red, green or blue—pass through to any given pixel, allowing it to record only one color.



As a result, typical mosaic sensors capture 50% of the green and only 25% of the red and blue light.

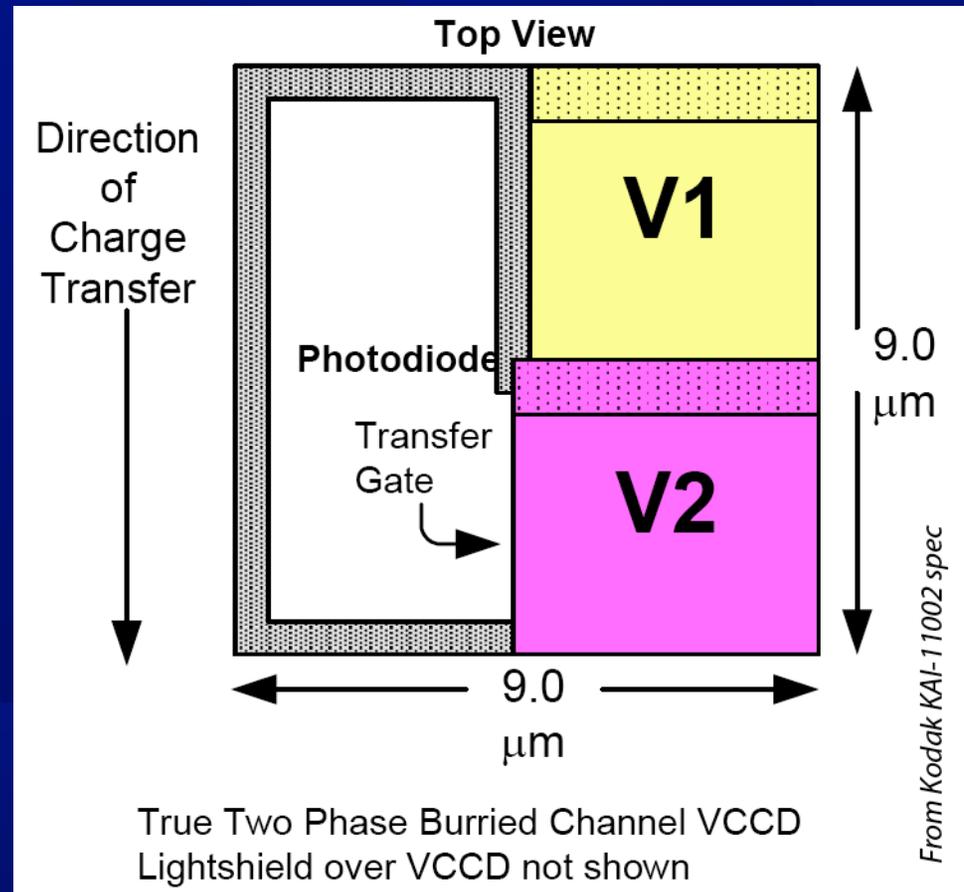


# Panoramic (cylindrical-scan) cameras

- ✱ Rotate a linear (1D, not 2D) CCD imaging array
- ✱ Rotate too fast ==> aliasing
- ✱ Rotate too slow => blurring because CCD cell overlaps

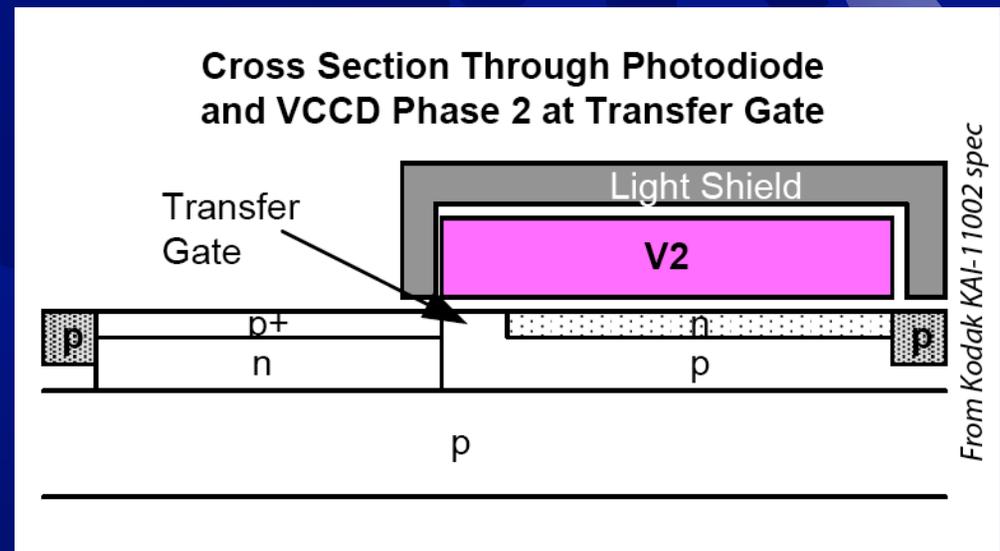
# Anatomy of an interline CCD (plan view)

- ★ Active area is a small part of the total cell
- ★ E.g.  $8 \times 4 \mu\text{m}$  in  $9 \times 9 \mu\text{m}$  cell
- ★ Warning: 2X faster *aliasing* potential!
- ★ Warning: Tossing half the light!



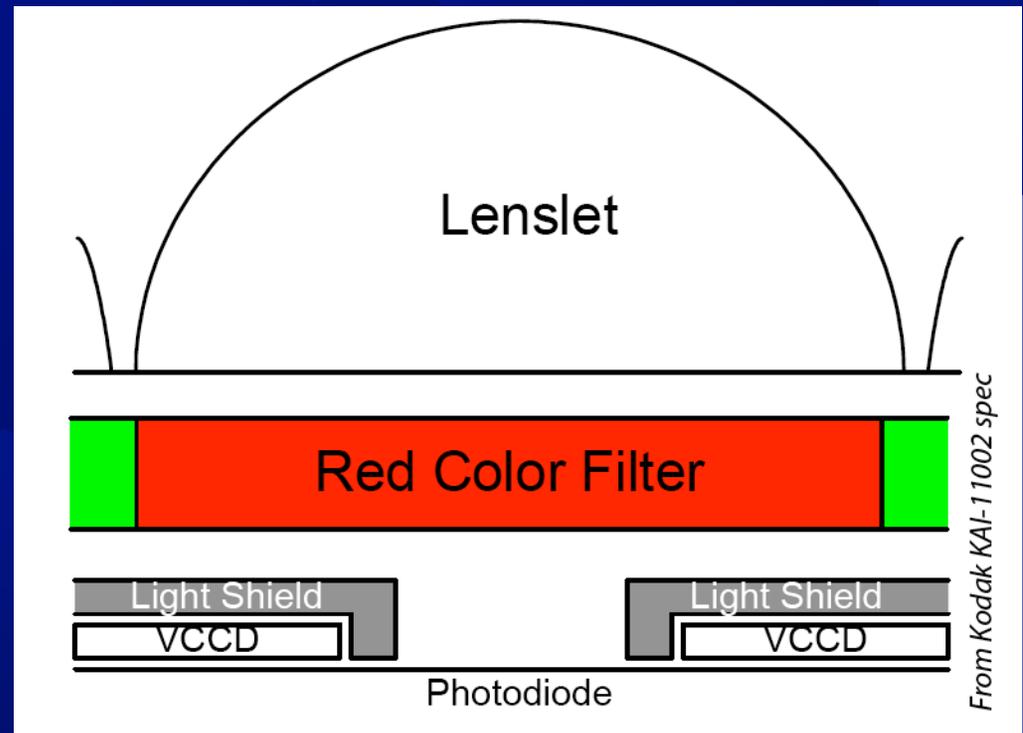
# Anatomy of a CCD (cross section)

- Pixel takes cover under light shield for readout



# Recovering the light

- ☀ Lenslets redirect light into the photodiode well (horizontally)



# Recover Light

☀️ 3X more light with lenslets!

Quantum Efficiency  
Color with Microlens Quantum Efficiency

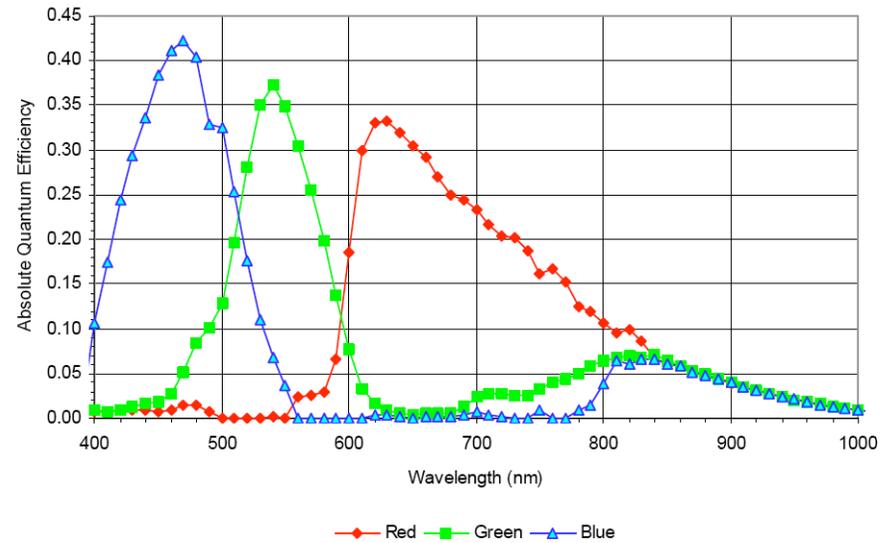


Figure 10: Color with Microlens Quantum Efficiency Using AR Glass

Color without Microlens Quantum Efficiency

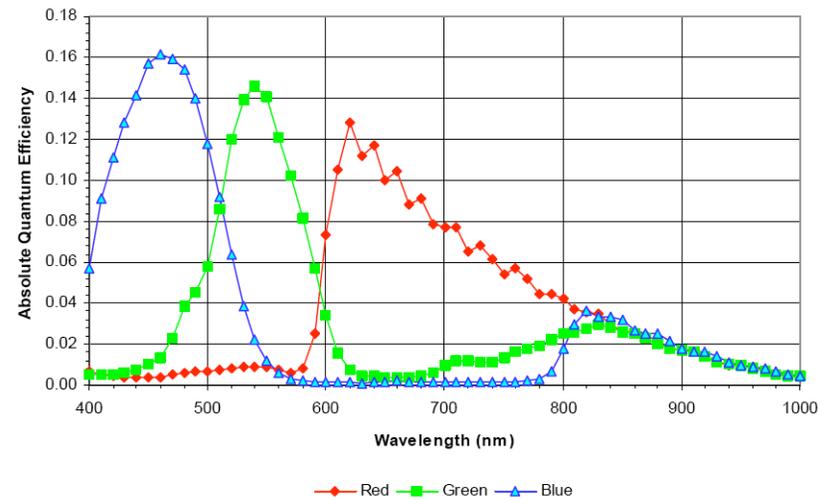
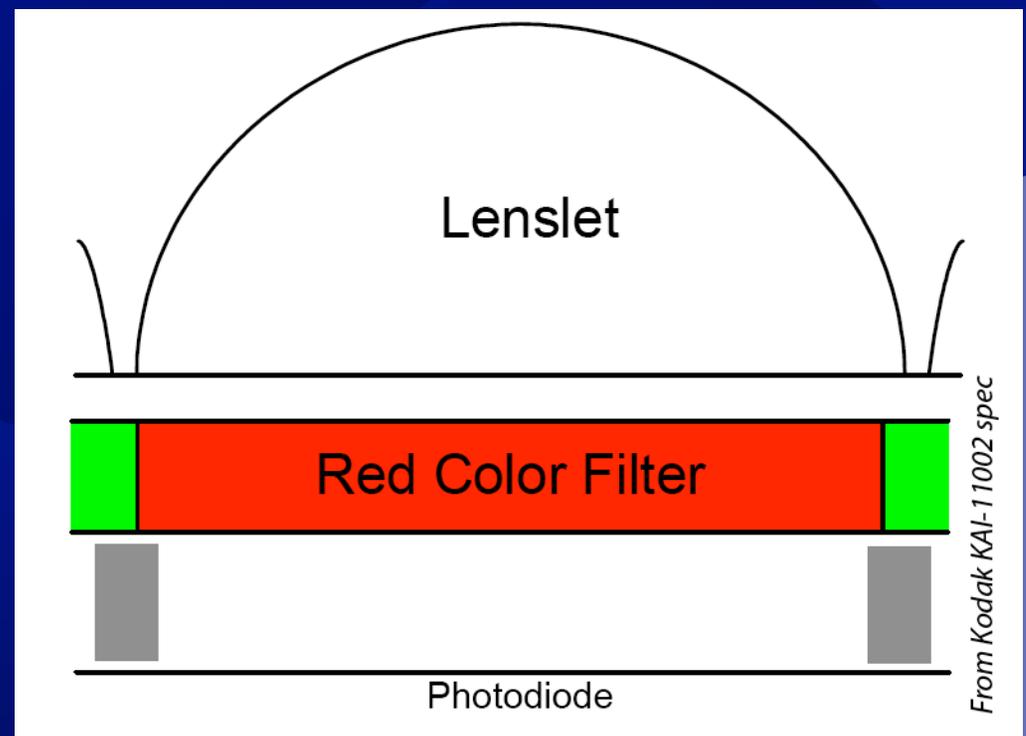


Figure 11: Color without Microlens Quantum Efficiency Using AR Glass

From Kodak KAI-11002 spec

# Color Pollution

- ✦ Rays from adjacent color cells enter obliquely





# Resolution

- ✦ The difference between resolution and pixel sampling density
- ✦ Determining resolution
- ✦ Intimate relationship between resolution and focal length

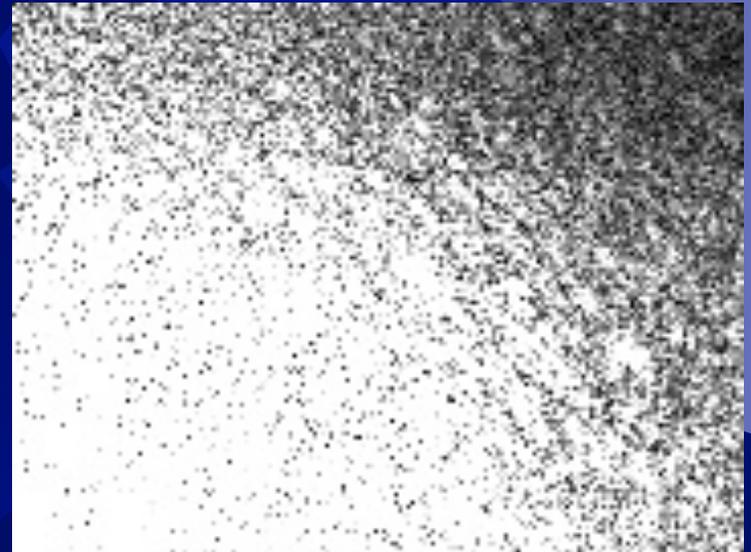
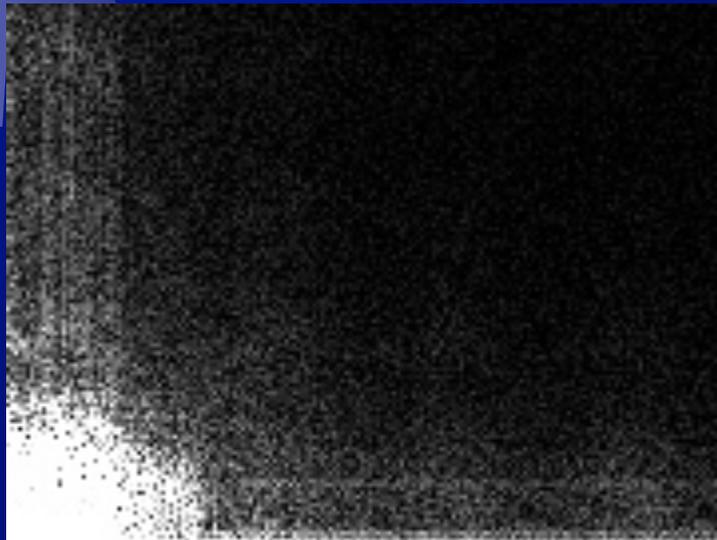
# What is the resolution?

- Both images have the same number of pixels (360x270).



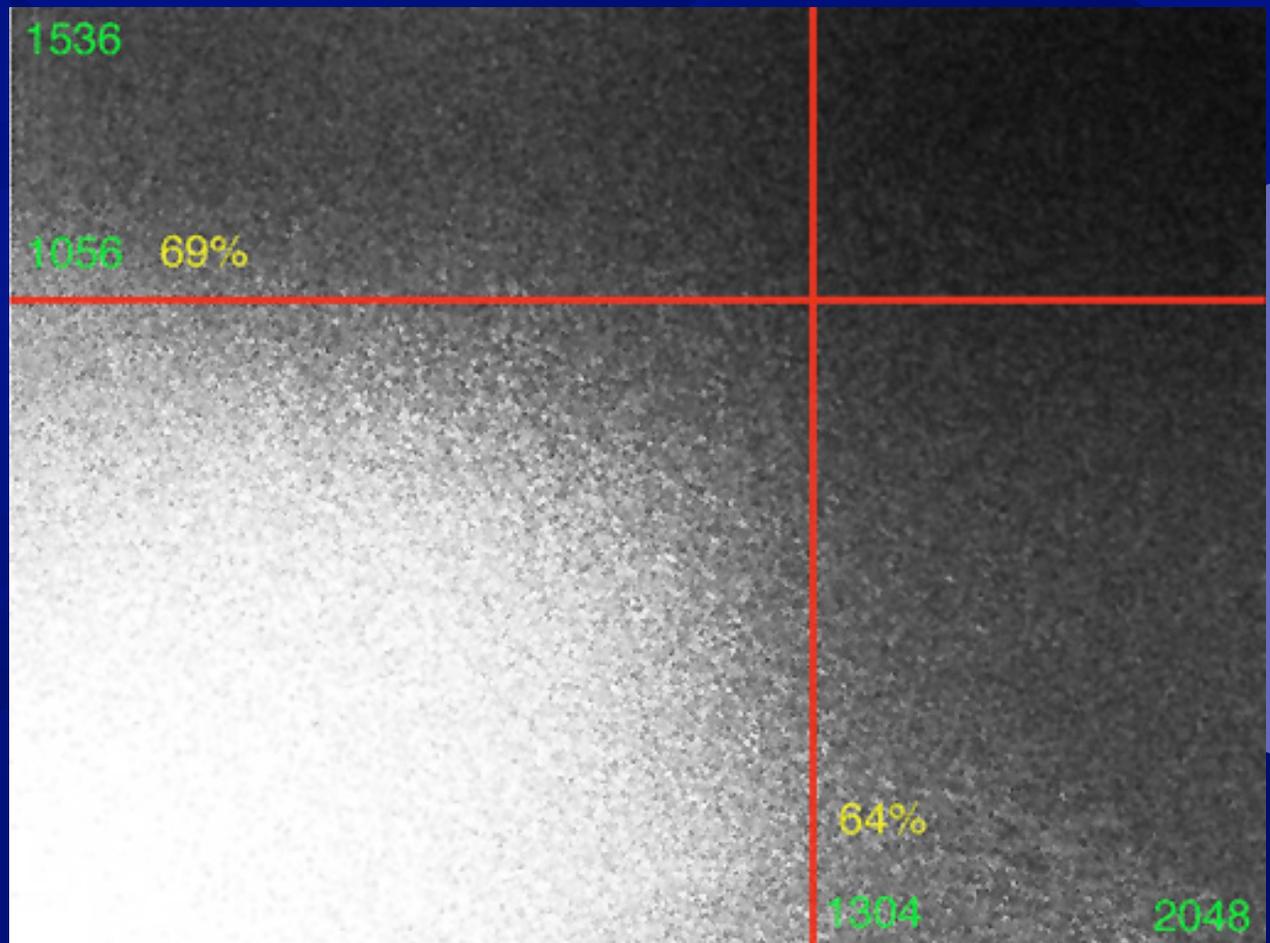
# Determining Resolution with the Fourier Transform

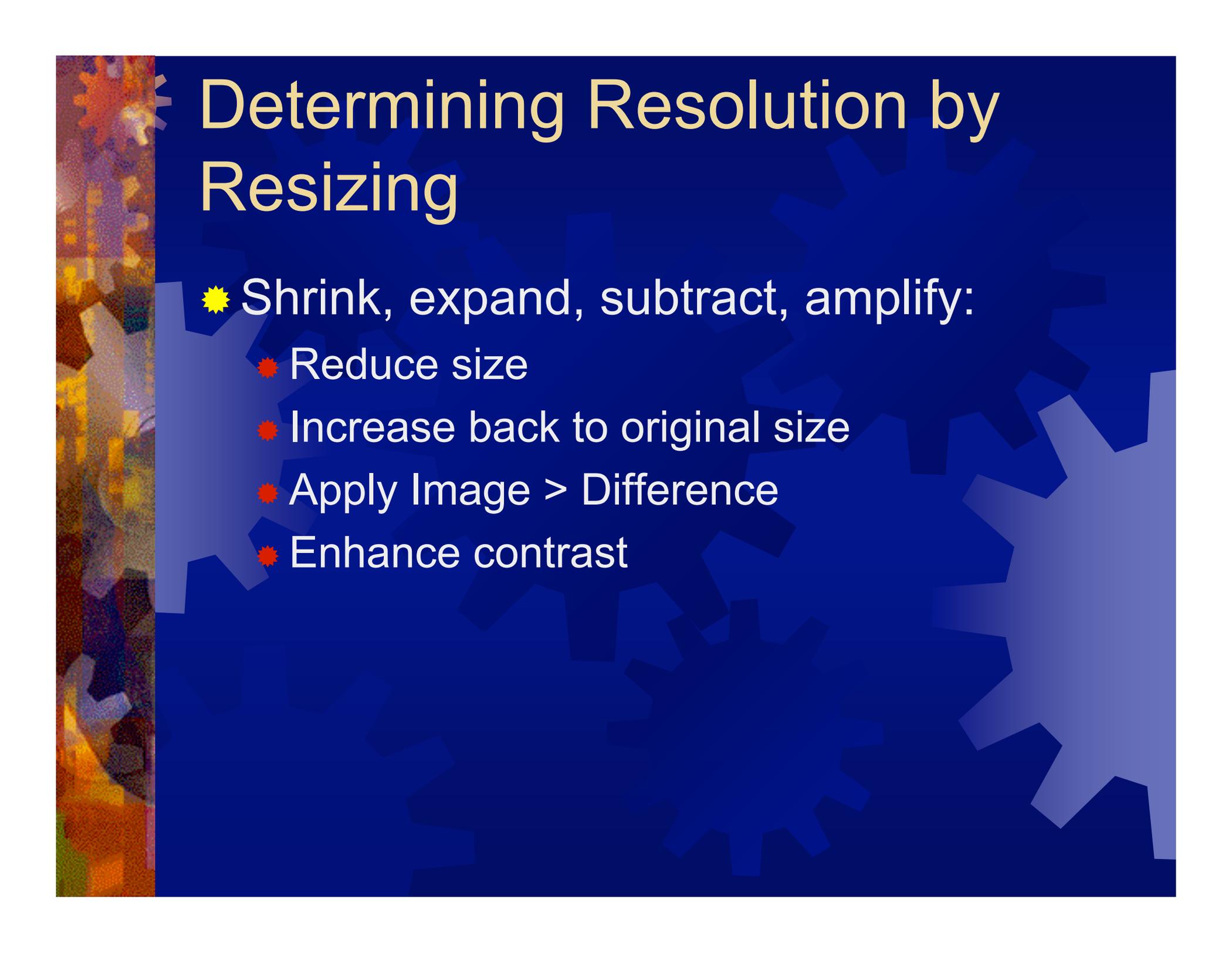
- ✦ Bright ==> high energy
- ✦ Horizontal & vertical frequency (detail) increases to the right and up



# The Empirical 70% Rule

- ✦ Negligible loss of quality when shrunk by 70%
- ✦ Half the pixels





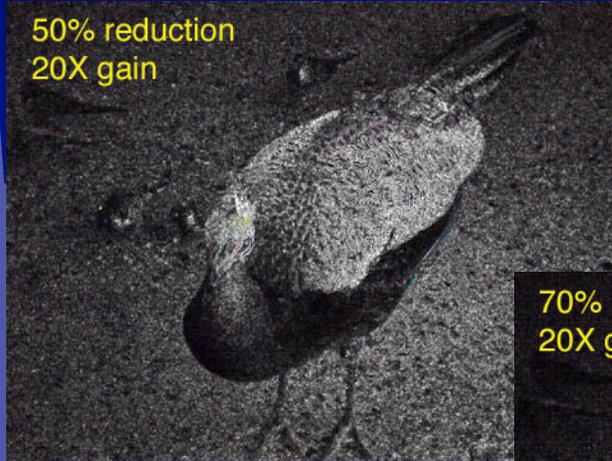
# Determining Resolution by Resizing

☀ Shrink, expand, subtract, amplify:

- ☀ Reduce size
- ☀ Increase back to original size
- ☀ Apply Image > Difference
- ☀ Enhance contrast

# Resolution in Photoshop

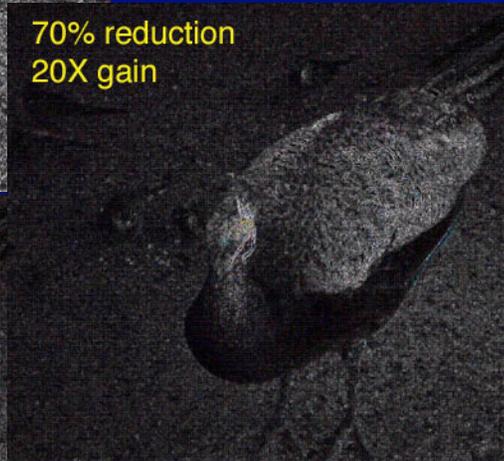
50% reduction  
20X gain



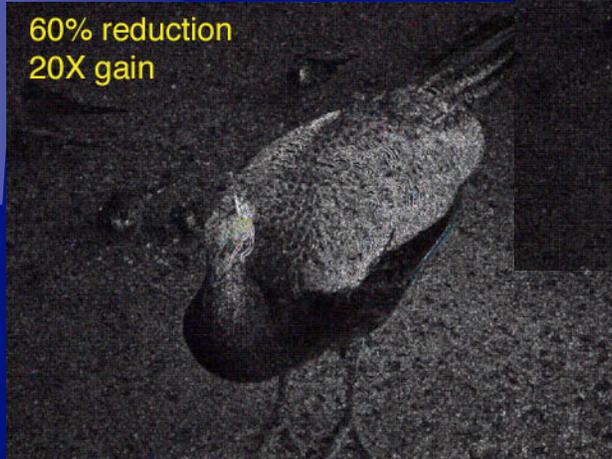
80% reduction  
20X gain



70% reduction  
20X gain

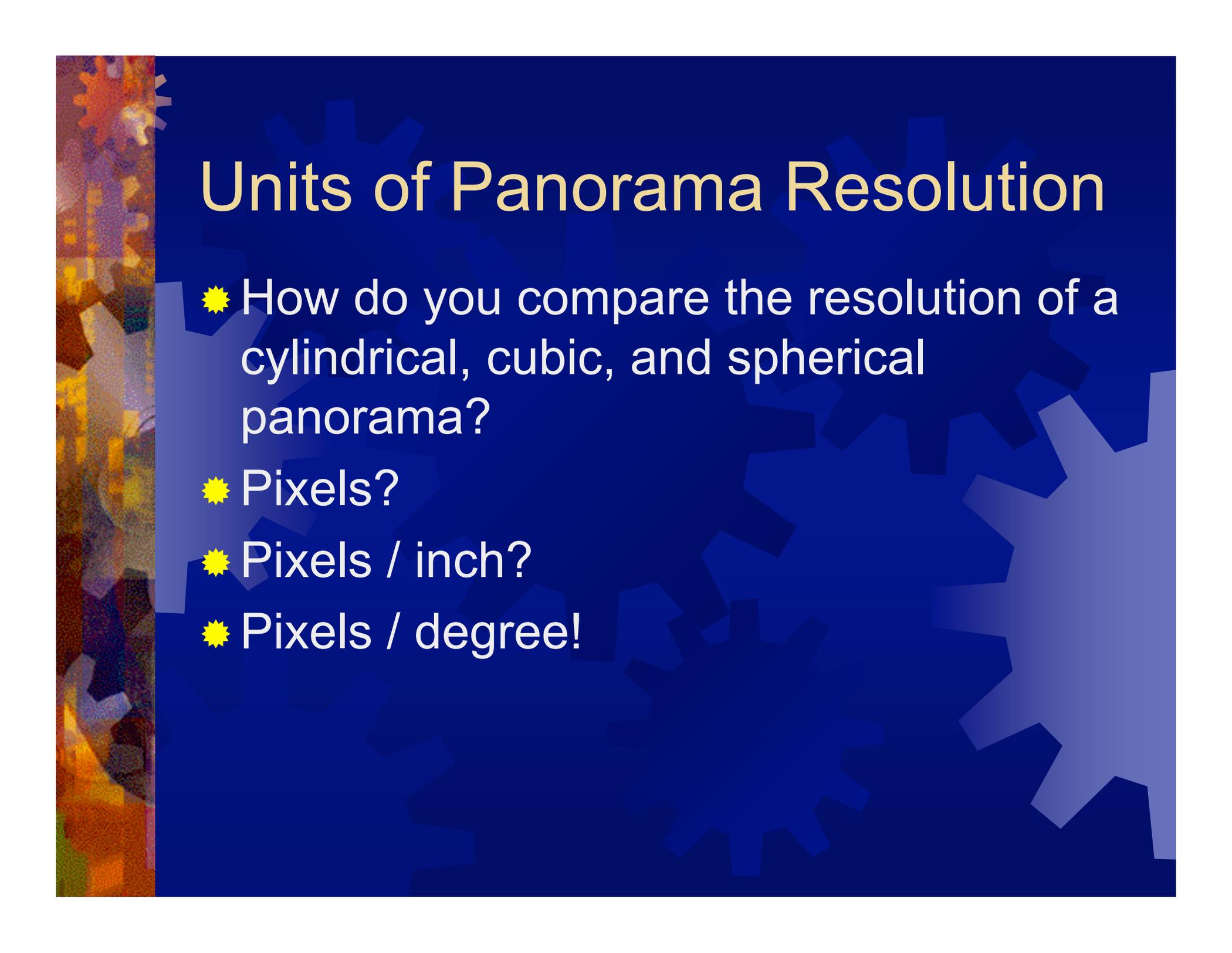


60% reduction  
20X gain



90% reduction  
20X gain





# Units of Panorama Resolution

- ✦ How do you compare the resolution of a cylindrical, cubic, and spherical panorama?
- ✦ Pixels?
- ✦ Pixels / inch?
- ✦ Pixels / degree!

# Panorama resolution (pix/°)

- ✦ Cylindrical or spherical  
circumference / 360
- ✦ Round 180° fisheye  
(diameter - 1) / 180
- ✦ Cubic  
(faceWidth - 1) \*  $\pi$  / 360
- ✦ E.g. 2496 pixel cylindrical circumference =  
1249 pixel 180° fisheye diameter  
795 pixel cube face =  
6.9 pixels / degree



# Definitions: panoramic resolution and focal length

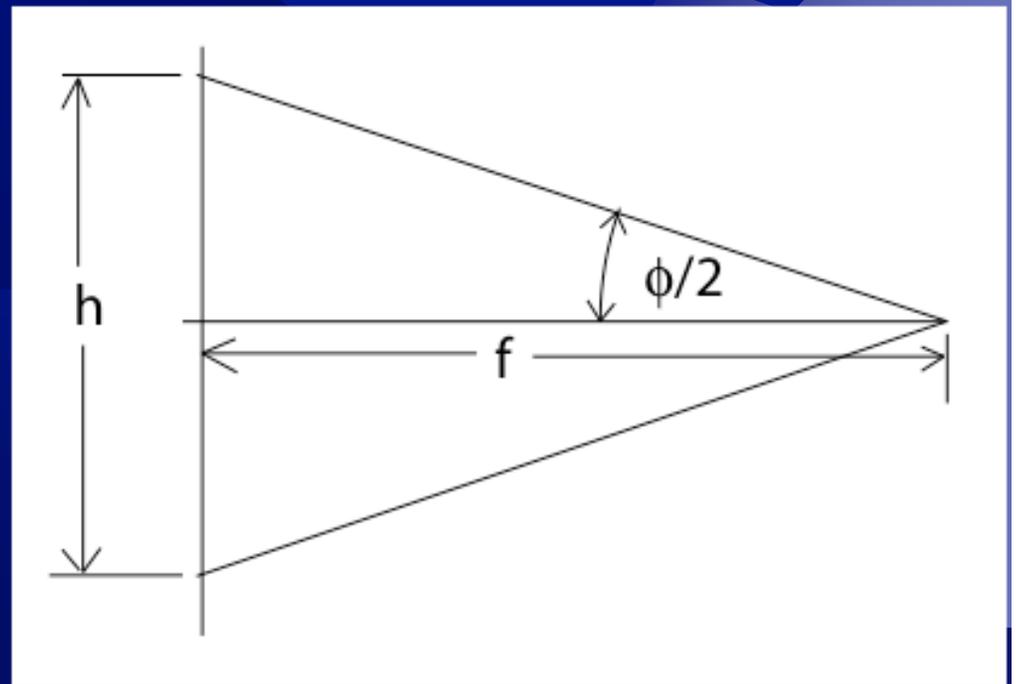
- ✦ Panoramic resolution: the angular pixel density as determined by the focal length.
- ✦ The focal length: the distance to the imaging surface at the center of projection.
- ✦ More details at <http://www.worldserver.com/turk/quicktimevr/panores.html>

# Focal Length & Resolution

- ✦ Intimate relationship between the focal length and panoramic resolution in pixels per degree.
- ✦ Lens focal length in mm (per radian)
- ✦ Sensor: pixels / mm
- ✦  $(\text{pix/mm}) * (\text{mm/rad}) * (\text{rad/deg}) = \text{pix/deg}$   
sensor                  focal length      unit conversion

# Why is focal length mm/radian?

- ✦ From elementary trigonometry:  
 $\tan(\phi/2) = h/(2f)$
- ✦ With small  $\phi$ , expressed in radians,  
 $\phi/2 \approx h/(2f)$
- ✦ Or  
 $f \approx h / \phi$   
(mm/rad)



# Conclusion

- ✱ Know where your pixels have been
- ✱ Pixel sampling density  $\neq$  resolution
- ✱ Don't use more pixels than you need
- ✱ Apply the 70% rule
- ✱ Focal length = pixel sampling density (resolution)